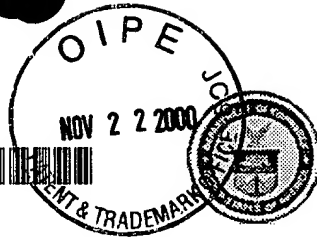


## FORMALITIES LETTER



\*OC000000005351157\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/605,040	06/27/2000	David Black	E0295/7120

#3

Matthew B Lowrie  
 Wolf Greenfield & Sacks P C  
 Federal Reserve Plaza  
 600 Atlantic Avenue  
 Boston, MA 01720

Date Mailed: 08/24/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$504.
  - \$270 for 15 total claims over 20.
  - \$234 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1324.

*A copy of this notice MUST be returned with the reply.*

*Director Bell T-4*  
 Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/27/2000 HND001 00000077 09605040  
 01 FC:101 710.00 OP  
 02 FC:105 130.00 OP  
 03 FC:102 240.00 OP  
 04 FC:103 270.00 OP

Sector \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Black  
 Serial No: 09/605,040  
 Filed: June 27, 2000  
 For: USE OF GLOBAL LOGICAL VOLUME IDENTIFIERS TO ACCESS LOGICAL VOLUMES  
 STORED AMONG A PLURALITY OF STORAGE ELEMENTS IN A COMPUTER STORAGE  
 SYSTEM  
 Examiner: Not yet assigned  
 Art Unit: 2759



#3

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 22 day of November, 2000.

*Matthew B. Lowrie*  
 Matthew B. Lowrie, Reg. No. 38,228

Box Missing Parts  
 Commissioner for Patents  
 Washington, D. C. 20231

Sir:

Transmitted herewith are the following documents:

- ☒ Response to Notice to File Missing Parts
- ☒ Copy of Notice to File Missing Parts
- ☒ Declaration of David Black
- ☒ Petition for One Month Extension of Time, in duplicate
- ☒ Return receipt postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$1,460.00 is enclosed in payment of the filing fee (\$710), three additional independent claims over three (\$240), 15 additional claims over 20 (\$270), Petition for One Month Extension of Time (\$110) and the late filing fee (\$130). If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,  
 David Black, Applicant

*Matthew B. Lowrie*

Matthew B. Lowrie, Reg. No. 38,228  
 WOLF, GREENFIELD & SACKS, P.C.  
 600 Atlantic Avenue  
 Boston, MA 02210  
 Tel. (617) 720-3500

x11/23/2000x

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Black

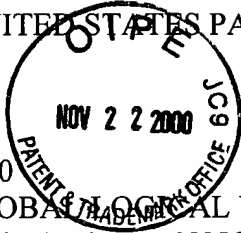
Serial No: 09/605,040

Filed: June 27, 2000

For: USE OF GLOBAL LOGICAL VOLUME IDENTIFIERS TO ACCESS LOGICAL  
VOLUMES STORED AMONG A PLURALITY OF STORAGE ELEMENTS IN  
A COMPUTER STORAGE SYSTEM

Examiner: Not yet assigned

Art Unit: 2759




#3

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 20 day of November, 2000.

  
Matthew B. Lowrie, Reg. No. 38,228

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Box Missing Parts  
Commissioner for Patents  
Washington, D. C. 20231

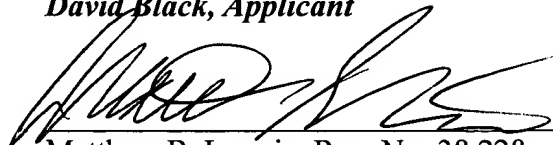
**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts mailed August 24, 2000, enclosed is a copy of the Notice and an original Declaration for Patent Application.

The check enclosed includes the fees as set forth in the Notice. If the amount is insufficient, please charge our Deposit Account No. 23/2825.

Respectfully submitted,  
**David Black, Applicant**



Matthew B. Lowrie, Reg. No. 38,228  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617)720-3500

x11/24/00x